



#10/as/3

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ERIC A. MEADE, REG. NO. 42,876

4-14-03

Attorney Docket No. 4739

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1754

Examiner: Langel, Wayne A.

Inventor: Ruettinger et al.

Serial No.: 09/771,812

Filed: January 29, 2001

For: Improved Non-Pyrophoric Water-Gas Shift Reaction Catalysts

Commissioner for Patents Washington, D.C. 20231

AMENDMENT UNDER 37 CFR. § 1.111

RECEIVED

GROUP 1700

Introductory Remarks:

This Amendment is responsive to the Office Action mailed December 12, 2002 (Paper No. 8) (herein referred to as "Office Action"). Applicant respectfully requests entry of this Amendment and reconsideration of the application in view thereof.

This Amendment is believed timely filed on April 14, 2003 (the first business day following Saturday, April 12, 2003) with a petition for a one (1) month extension.

Notwithstanding, should this Paper not be considered timely filed, Applicant hereby requests a retroactive extension of time to file for the number of months required to maintain pendency of the Application.

04/22/2003 HGUTEMA1 00000017 040480 09771812

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PTO/SB/21 (08-00)

| Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. | | | | | | | | | |
|--|---------|--|----------------------|---|------------------|--|--|--|--|
| | | | Application Num | ber | 09/771,812 | | | | |
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | | Filing Date | | January 29, 2001 | | | | |
| | | | First Named Inventor | | Ruettinger | | | | |
| | | | Group Art Unit | | 1754 | | | | |
| | | | Examiner Name | _ | Langel, W. | | | | |
| Total Number of Pages in This Submission | | | Attorney Docket N | Number | er 4739 | | | | |
| | | ENCL | OSURES (d | check a | ill that apply) | | | | |
| Fee Attached Fee Attached Drawing Licensin After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement | | reg-related Papers to Convert to a nal Application of Attorney, Revocatio of Correspondence | | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Acknowledgement Postcard APR 2 3 7003 GROUP 1700 | | | | | |
| | SIGNATU | IRE OF APPLI | CANT, ATTORNE | Y, OR A | GENT | | | | |
| Firm or Individual name Eric A. Meade; Reg. No. 42,876 | | | | | | | | | |
| Signature E. C. Mead- | | | | | | | | | |
| Date April 14, 2003 | | | | | | | | | |
| | | CERTIFICA | ATE OF MAILING | G | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 04/14/2003 | | | | | | | | | |
| Typed or printed name Eric A. Mea | | | | | | | | | |
| Signature E. C. W. | | | | Date | April 14, 2003 | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

APR 2 1 2003

PTO/SB/17 (10-02)

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|---|--------------------|---------------------------------|------------------------|----------------------------------|--|
| FEETRANS | Complete if Known | | | | |
| FEE IRANS | Application Number | 09/771,812 January 29, 2001 | | | |
| for EV 1 | Filing Date | | | | |
| for FY 2003 Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27 | | First Named Inventor | Ruettinger | | |
| | | Examiner Name | Langel, W. | RECEIVED | |
| | | Art Unit | 1754 | | |
| TOTAL AMOUNT OF PAYMENT | (\$) 194.00 | Attorney Docket No | 4739 | APR 2 3 7003 | |

| | == | Attorr | === | | | | |
|---|---------------|-------------------------------------|-------------|-------------|--|--|--|
| METHOD OF PAYMENT (check all that apply) | | FEE CALCULATION (CONTINUED) ID 1700 | | | | | |
| Check Credit card Money Other None | | 3. ADDITIONAL FEES | | | | | |
| Deposit Account: | | Entity | 1 | | 1 | 1 | |
| | Fee Code | | Fee Code | Fee (\$) | Fee Description | Fee Paid | |
| Account Number | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | 1 66 1 41.5 | |
| Deposit Account | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| Name The Commissioner is authorized to: (check all that apply) | 1053 | 130 | 1053 | 130 | Non-English specification | <u> </u> | |
| Charge fee(s) indicated below Credit any overpayments | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | <u> </u> | |
| Charge any additional fee(s) during the pendency of this application | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | |
| Charge fee(s) indicated below, except for the filing fee | | 1,840* | 1805 | 1.840* | Requesting publication of SIR after | | |
| to the above-identified deposit account. | | · | | | Examiner action | 140.00 | |
| FEE CALCULATION | 1251 | 110 | 2251 | | Extension for reply within first month | 110.00 | |
| 1. BASIC FILING FEE | 1252 | 400 | | 200 | Extension for reply within second month | | |
| Large Entity Small Entity | 1253 | 920 | | 460 | Extension for reply within third month | <u> </u> | |
| Fee Fee Fee Fee Fee Description Fee Paid Code (\$) | 1254 | 1,440 | 2254 | 720 | Extension for reply within fourth month | <u> </u> | |
| 1001 740 2001 370 Utility filing fee | 1255 | 1,960 | 2255 | 980 | Extension for reply within fifth month | <u> </u> | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | <u> </u> | |
| 1003 510 2003 255 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | <u> </u> | |
| 1004 740 2004 370 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | <u> </u> | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | |
| SUBTOTAL (1) (\$) 0.00 | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1453 | 1,280 | 2453 | 640 | Petition to revive - unintentional | <u> </u> | |
| Fee from | | 1,280 | 2501 | | Utility issue fee (or reissue) | <u> </u> | |
| Extra Claims below Fee Paid Total Claims -20** = X = 0.00 | 1502 | | 2502 | | Design issue fee | <u> </u> | |
| Independent 4 2** 1 V 84 J 84 00 | 1503 | | 2503 | | Plant issue fee | <u> </u> | |
| Claims 4 - 3** = 1 X 04 = 04.00 Multiple Dependent | 1460 | 130 | 1460 | | Petitions to the Commissioner | <u> </u> | |
| · · · | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | <u> </u> | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | ļ | |
| Code (\$) Code (\$) | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) |] | |
| 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 | 1809 | 740 | 2809 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 | 740 | 2810 | 370 | For each additional invention to be | | |
| 1204 84 2204 42 ** Reissue independent claims over original patent | 1801 | 740 | 2801 | 370 | examined (37 CFR 1.129(b)) | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1801 | | 1802 | | () | | |
| | Other | r fee (sp | ecify) | | or a dobig Sppdad | | |
| SUBTOTAL (2) (\$) 84.00 | | | | Filing F | Fee Paid SUBTOTAL (3) (\$) 11 | 0.00 | |
| **or number previously paid, if greater; For Reissues, see above | 1 | 1000 2, | Dagic . | , m., | 20R101VT (3) 1(2) 11 | 0.00 - 1 | |

SUBMITTED BY

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(Attorney/Agent)

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Date 4/14/03

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.